

# Efficient generation of some greedy Gray codes

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2024 KMS Annual Meeting  
October 24–26th  
Suwon, Republic of Korea



# Overview

- The greedy Gray code algorithm
- Restricted classes of binary words
- Efficient exhaustive generation

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# Gray codes

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# Homogeneous transposition

```
0100110000101  
0100111000001
```

Homogeneous transposition

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```
0100110000101
0100111000001
```

Homogeneous transposition

```
01001110000101
01011110000001
```

Non homogeneous transposition

# Homogeneous transposition

0100110000101  
0100111000001

0100110000101  
0101110000001

Homogeneous transposition

Non homogeneous transposition

Let  $S$  be a set of same length and same weight binary words.

## Definition

A *homogeneous Gray code* for  $S$  is a list containing every word of  $S$ , such that two consecutive words differ by a homogeneous transposition.

# The greedy Gray code algorithm

$S$  : set of same length and same weight binary words

## Algorithm

- 1 Initialize the list  $\mathcal{L}$  with a particular word in  $S$ .
- 2 For the last word in  $\mathcal{L}$ , homogeneously transposes the leftmost possible 1 with the leftmost possible 0, such that the obtained word is in  $S$  but not in  $\mathcal{L}$ .
- 3 If at point 2. a new word is obtained, then append it to the list  $\mathcal{L}$  and return to point 2.

This definition is a specialisation of that introduced in  
[Aaron Williams, The greedy Gray code algorithm, 2013]

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This algorithm is not suitable for efficiently generating Gray codes since it may need to “remember” an exponential number of objects

# Example

```
1001
0101
0011
```

## Example

	0011
	1001
1001	0101
0101	0110
0011	1010
	1100



# Example

	0011
	1001
1001	0101
0101	0110
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	1100

## Example

	0011
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	0101
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0011	1100

## Questions

- Which classes of binary words this algorithm generates?
- Which first words generate the whole class?

- The greedy Gray code algorithm
- Restricted classes of binary words
- Efficient generation

# Fibonacci words

## Definition

Let  $F_n(k)$  be the set of length  $n$  and weight  $k$  binary words that do not have two consecutive 1's.

$$|F_n(k)| = \binom{n-k+1}{k}.$$

## Example:

$F_5(2) = [00101, 01001, 01010, 10001, 10010, 10100]$ .

# Generalised Dyck prefixes

## Definition

Let  $C_n(p, k)$  be the set of length  $n$  and weight  $k$  binary words with the property that any prefix contains at least  $p$  times as many 0's as 1's.

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- $C_n(0, k)$  is the set of length  $n$  binary words of weight  $k$

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- $C_{2n}(1, n)$  is the set of length  $2n$  Dyck words

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- $C_{3n}(2, n)$  is in bijection with size  $3n$  ternary trees.

The set  $C_8(1, 4)$  of Dyck words of length 8

01010101	00101011
00110101	00110011
00101101	01010011
01001101	01000111
00011101	00100111
00011011	00010111
01001011	00001111

# Generators

**Definition**

For  $\alpha \in S$ , we denote by  $\mathcal{S}(\alpha)$  the list obtained by applying the greedy algorithm for  $S$ , starting with  $\alpha$ .

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For  $\alpha \in S$ , we denote by  $\mathcal{S}(\alpha)$  the list obtained by applying the greedy algorithm for  $S$ , starting with  $\alpha$ . In addition, if  $\mathcal{S}(\alpha)$  contains each binary word in  $S$ , then  $\alpha$  is called a *generator*.

## Proposition

The lexicographic smallest possible binary word and the lexicographic largest possible binary word are generators for  $F_n(k)$  and for  $C_n(p, k)$ .

- The greedy Gray code algorithm
- Restricted classes of binary words
- Efficient exhaustive generation

# Generation algorithms

Exhaustive generation algorithms are developed in computer science for verification purposes, in statistical physics for computer experimentation, or in bio-informatics to assess statistical significance of weak signals.

An exhaustive generation algorithms is optimal if it runs in constant average time (it is a CAT algorithm).

## Recursive tail partitioned lists

The tail of a binary word is its unique suffix of the form  $011\dots 1$



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### Definition

$\mathcal{L}$  is a *recursive tail partitioned* list if it has the form

$$\mathcal{L} = \mathcal{L}_1 \cdot 01^u, \mathcal{L}_2 \cdot 01^{u+1}, \mathcal{L}_3 \cdot 01^{u+2}, \dots, \mathcal{L}_{\ell+1} \cdot 01^{u+\ell}$$

or the form

$$\mathcal{L} = \mathcal{L}_1 \cdot 01^{u+\ell}, \mathcal{L}_2 \cdot 01^{u+\ell-1}, \mathcal{L}_3 \cdot 01^{u+\ell-2}, \dots, \mathcal{L}_{\ell+1} \cdot 01^u$$

for some  $u, \ell \geq 0$ , and each list  $\mathcal{L}_i$ , is in turn recursive tail partitioned.



# Recursive tail partitioned lists

## Theorem

If  $\mathcal{L}$  is a list of same length and same weight binary words and it is  
a homogeneous Gray code, and  
suffix partitioned,  
then  $\mathcal{L}$  is recursive tail partitioned.

The list  $\mathcal{D}_1(01010101)$  obtained by the greedy algorithm with  $p = 1$ , which is an homogeneous Gray code for  $C_8(1, 4)$ .

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01010101

00110101

00101101

01001101

00011101

00011011

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00110011

01010011

01000111

00100111

00010111

00001111

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01010101

00110101

00101101

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00011011

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00110011

01010011

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00100111

00010111

00001111

The list  $\mathcal{D}_1(01010101)$  obtained by the greedy algorithm with  $p = 1$ , which is an homogeneous Gray code for  $C_8(1, 4)$ .

01010101

00110101

00101101

01001101

00011101

00011011

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00101011

00110011

01010011

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The list  $\mathcal{D}_1(01010101)$  obtained by the greedy algorithm with  $p = 1$ , which is an homogeneous Gray code for  $C_8(1, 4)$ .

01010101

00110101

00101101

01001101

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00101011

00110011

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The list  $\mathcal{D}_1(01010101)$  obtained by the greedy algorithm with  $p = 1$ , which is an homogeneous Gray code for  $C_8(1, 4)$ .

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00101011

00110101

00110011

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01010011

01001101

01000111

00011101

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00001111



# CAT generation for a homogeneous Gray code for $C_n(p, k)$

```

procedure pref( $m, j$ )
  if  $m = (p + 1)j$  then
    if  $p = 0$  then return
    end if
     $m \leftarrow m - 1; j \leftarrow j - 1$ 
  end if
  if  $S_j < m$  then # Increasing tail
    for  $i = 0$  to  $j - 1$  do #  $i$  is the number of 1's in the tail
      pref( $m - i - 1, j - i$ )
       $S_{j-i} \leftarrow m - i$ 
      print( $S$ )
    end if
    if  $S_j = m$  then # Decreasing tail
      for  $i = j - 1$  downto  $0$  do #  $i$  is the number of 1's in the tail
         $S_{j-i} \leftarrow \max(S_{j-i-1} + 1, (p + 1)(j - i))$ 
        print( $S$ )
        pref( $m - i - 1, j - i$ )
      end if
    end if
  end procedure

```

- the main call is  $\text{pref}(n, k)$ , it generates  $C_n(p, k)$
- $S_i$  is the position of the  $i$ th 1 in the word
- table  $S$  and the parameter  $p$  are global
- $S$  is initialized as  $S_i \leftarrow (p + 1)i$  for  $1 \leq i \leq k$

# Algorithm analysis

With a classical complexity analysis, we can obtain the following result

**Proposition**

The call  $\text{pref}(n, k)$  generates the homogeneous greedy Gray code for  $C_n(p, k)$  efficiently.

See [\[Frank Ruskey, Combinatorial Generation Book\]](#).

# Biblio



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Thank you!