

Exhaustive generation of some classes of  
pattern avoiding permutations using  
succession functions

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- Introduction:
  - pattern avoiding permutations
  - exhaustive generating algorithms
  - succession functions

- Introduction:
  - pattern avoiding permutations = **object**
  - exhaustive generating algorithms = **goal**
  - succession functions = **tool**

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  - pattern avoiding permutations = **object**
  - exhaustive generating algorithms = **goal**
  - succession functions = **tool**
- Results
  - $\chi$
  - generic generating algorithm
  - list of **good** classes of pattern avoiding permutations

# Pattern avoiding permutations

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# Pattern avoiding permutations

- $\mathfrak{S}_n$  is the set of length  $n$  permutations
- $\alpha \in \mathfrak{S}_n$  *contains*  $\tau \in \mathfrak{S}_k$  if there is a subsequence

$$1 \leq i_1 < i_2 < \cdots < i_k \leq n$$

such that

$$(\alpha_{i_1}, \dots, \alpha_{i_k})$$

is order-isomorphic to  $\tau$  (= *pattern*)

4 7 2 5 6 3 1

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3 2 1



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3 2 1

4 7 2 5 6 3 1 →  $\begin{matrix} 3 & 2 & 1 \\ 7 & 5 & 3 \end{matrix}$

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4 7 2 5 6 3 1

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4 7 2 5 6 3 1 →  $\begin{matrix} 3 & 1 & 2 \\ 4 & 2 & 3 \end{matrix}$



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- for a set  $A$  of permutations,  $\mathfrak{S}_n(A)$  is the set of permutations in  $\mathfrak{S}_n$  avoiding each permutation in  $A$



pattern avoiding permutations

Reche

Environ 355 000 résultats (0,26 secondes)

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[Four classes of pattern-avoiding permutations under ...](#) - Bousquet-Mélou - Cité 53 fois

[Permutations with restricted patterns and Dyck paths](#) - Krattenthaler - Cité 136 fois

### **Pattern Avoiding Permutations III** - [ Traduire cette page ]

6 Feb 2005 ... The third international conference on **Permutation Patterns** will take place at the University of Florida, in Gainesville, Florida, March 7-11 ...

[math.haifa.ac.il/toufik/conf.../pp05.html](http://math.haifa.ac.il/toufik/conf.../pp05.html) - En cache - Pages similaires

### [PDF] **Pattern-Avoiding Permutations** Steven Finch April 27, 2006 Let $\sigma$ ... - [ Traduire cette page ]

Format de fichier: PDF/Adobe Acrobat - Afficher

**Pattern-Avoiding Permutations**. 4 which is the unique positive zero of  $1 + 2x + x^2 + x^3$  ... to **pattern-avoiding permutations**,. Formal Power Series and Al- ...

[algo.inria.fr/resolve/av.pdf](http://algo.inria.fr/resolve/av.pdf) - Pages similaires

### **Permutation Pattern -- from Wolfram MathWorld** - [ Traduire cette page ]

30 Aug 2010 ... The following table gives the numbers of **pattern-avoiding permutations** of  $\{1, \dots, n\}$  for various sets of patterns. ...

[mathworld.wolfram.com](http://mathworld.wolfram.com/Permutations) > ... > [Permutations](#) - En cache - Pages similaires

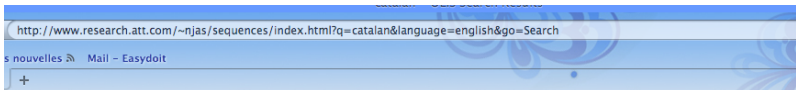
- Catalan

$\mathfrak{S}(321)$ ,  $\mathfrak{S}(312)$

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# Catalan

$\mathfrak{S}(321)$ ,  $\mathfrak{S}(312)$



## Greetings from [The On-Line Encyclopedia of Integer Sequences!](#)

catalan  [Hints](#)

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[A000108](#) **Catalan numbers:**  $C(n) = \text{binomial}(2n,n)/(n+1) = (2n)!/(n!(n+1)!)$ . Also called Segner numbers. +20  
1849

(Formerly M1459 N0577)

1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16796, 58786, 208012, 742900, 2674440, 9694845, 35357670, 129644790, 477638700, 1767263190, 6564120420, 24466267020, 91482563640, 343059613650, 1289904147324 ([list](#); [graph](#); [listen](#))

OFFSET

0,3

COMMENT

The solution to Schroeder's first problem. A very large number of combinatorial interpretations are known - see references, esp. Stanley, Enumerative Combinatorics, Volume 2.  
Number of ways to insert  $n$  pairs of parentheses in a word of  $n+1$  letters. E.g. for  $n=3$  there are 5 ways:  $((ab)(cd))$ ,  $((ab)c)d$ ,  $((a(bc))d)$ ,  $(a((bc)d))$ ,  $(a(b(cd)))$ .  
Consider all the binomial  $(2n,n)$  paths on squared paper that (i) start at  $(0,0)$ , (ii) end at  $(2n,0)$  and (iii) at each step, either make a  $(+1,+1)$  step or a  $(+1,-1)$  step. Then the number of such paths which never go never below the  $x$ -axis is  $C(n)$  [Chung-Feller]  
 $a(n)$  is the number of ordered rooted trees with  $n$  nodes, not including the root. See the Conway-Guy reference where these rooted ordered trees are called plane bushes. See also the Bergeron et al. reference, Example 4, p. 167. W. Lang Aug 07 2007.  
Shifts one place left when convolved with itself.

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 $\mathfrak{S}(321, 312, 231)$

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- ...

# Exhaustive generating algorithms

An **exhaustive generating algorithm** for a class of combinatorial objects is an algorithm that produces exhaustively (with no repetition nor omissions) the objects of the class

If a generating algorithm produces combinatorial objects so that only a constant amount of computation is done between successive objects, in an amortized sense, then one says that it runs in **constant amortized time (CAT)**.

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The amount of computation in each call is proportional to the number of recursive calls produced by it, and each call

- 1 is a terminal call and produces a combinatorial object, or
- 2 produces at least two recursive calls, or

# Succession rules

- The *sites* of  $\pi \in \mathfrak{S}_n$  are the positions between two consecutive entries, before the first and after the last entry; they are numbered, from right to left, from 1 to  $n + 1$



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- $i$  is an *active site* of  $\pi \in \mathfrak{S}_n(T)$  if the permutation obtained from  $\pi$  by inserting  $n + 1$  into its  $i$ th site is a permutation in  $\mathfrak{S}_{n+1}(T)$

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Example:

$13452 \in \mathfrak{S}_5(312)$  has 3 active sites right justified:

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## Example:

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$$(k) \rightsquigarrow (\chi_T(1, k))(\chi_T(2, k)) \dots (\chi_T(k, k))$$

$$\text{or } (k) \rightsquigarrow \cup_{i=1}^k (\chi_T(i, k)), \text{ for } k \geq 1,$$

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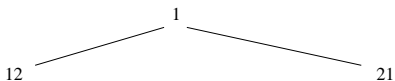
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- succession function  $\rightarrow$  succession rule
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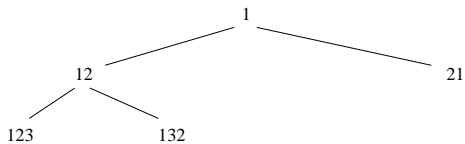
$$T = \{312\}$$

1

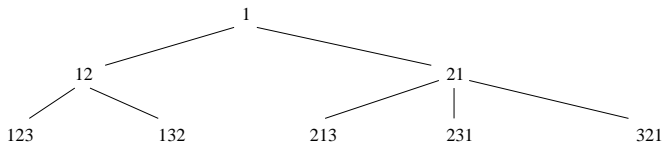
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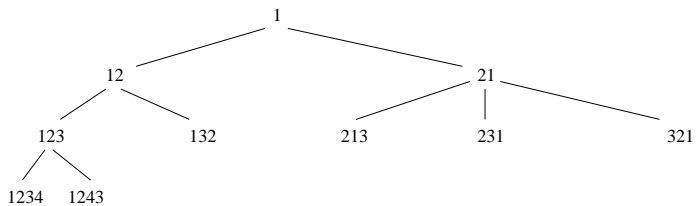
$$T = \{312\}$$



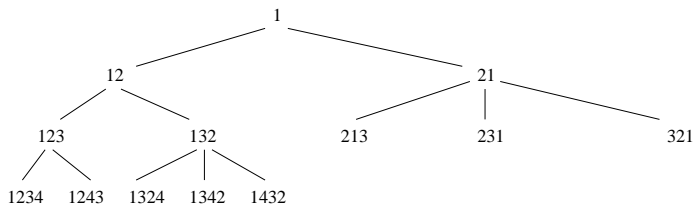
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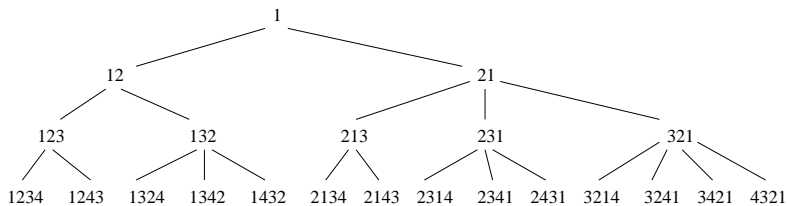


$$T = \{312\}$$

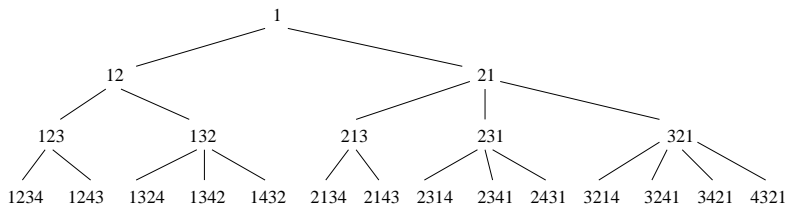




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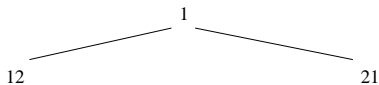
$$T = \{312\}, \chi_T(i, k) = i + 1$$



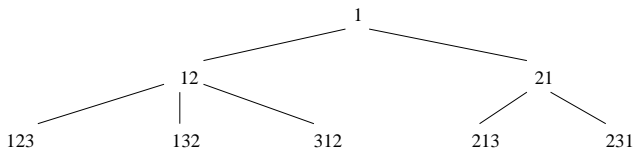
$$T = \{321\}$$

1

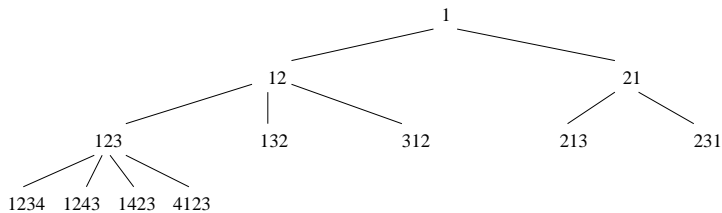
$$T = \{321\}$$



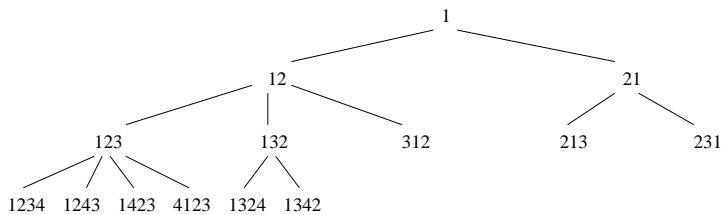
$$T = \{321\}$$



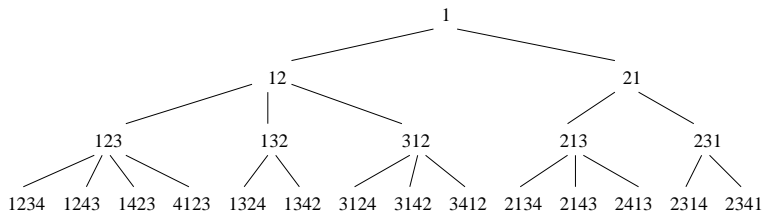
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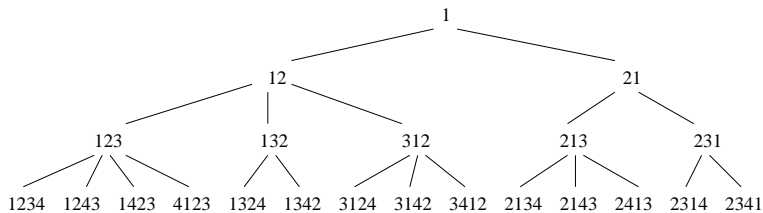


$$T = \{321\}$$





$$T = \{321\} \quad \chi_T(i, k) = \begin{cases} k + 1 & \text{if } i = 1 \\ i & \text{otherwise} \end{cases}$$



```

procedure Gen_Avoid(size, k)
local i
if size = n then Print( $\pi$ )
else size := size + 1
     $\pi$  := [ $\pi$ , size]
    Gen_Avoid(size,  $\chi(1, k)$ )
    for i := 2 to k do
         $\pi$  :=  $\pi \cdot (\textit{size} - i + 2, \textit{size} - i + 1)$ 
        Gen_Avoid(size,  $\chi(i, k)$ )
    end do
    for i := k downto 2 do
         $\pi$  :=  $\pi \cdot (\textit{size} - i + 2, \textit{size} - i + 1)$ 
    end do
end if
end procedure.

```



## Combinatorial Gray codes for classes of pattern avoiding permutations

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### Abstract

The past decade has seen a flurry of research into pattern avoiding permutations but little of it is concerned with their exhaustive generation. Many applications call for exhaustive generation of permutations subject to various constraints or imposing a particular generating order. In this paper we present generating algorithms and combinatorial Gray codes for several families of pattern

---

•  $2^{n-1}$

•  $T = \{321, 312\}, \chi_T(i, k) = 2$

•  $T = \{321, 231\}, \chi_T(i, k) = \begin{cases} k + 1 & \text{if } i = 1 \\ 1 & \text{otherwise} \end{cases}$

• Pell numbers

•  $T = \{321, 3412, 4123\}, \chi_T(i, k) = \begin{cases} 3 & \text{if } i = 1 \\ 2 & \text{otherwise} \end{cases}$

•  $T = \{312, 4321, 3421\}, \chi_T(i, k) = \begin{cases} 3 & \text{if } i = 2 \\ 2 & \text{otherwise} \end{cases}$

- Even index Fibonacci numbers

- $T = \{321, 3412\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & \text{if } i = 1 \\ 2 & \text{otherwise} \end{cases}$

- $T = \{321, 4123\}$ ,  $\chi_T(i, k) = \begin{cases} 3 & \text{if } i = 1 \\ i & \text{otherwise} \end{cases}$

- $T = \{312, 4321\}$ ,  $\chi_T(i, k) = \begin{cases} 3 & \text{if } k = 3 \text{ and } i = 3 \\ i + 1 & \text{otherwise} \end{cases}$

- Catalan numbers

- $T = \{312\}$ ,  $\chi_T(i, k) = i + 1$

- $T = \{321\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & i = 1 \\ i & \text{otherwise} \end{cases}$

- Schröder numbers

- $T = \{1234, 2134\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & i = 1 \text{ or } i = 2 \\ i & \text{otherwise} \end{cases}$

- $T = \{1324, 2314\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & i = 1 \text{ or } i = k \\ i + 1 & \text{otherwise} \end{cases}$

- $T = \{4123, 4213\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & i = k - 1 \text{ or } i = k \\ i + 2 & \text{otherwise} \end{cases}$

- Grand Dyck numbers

- $T = \{1234, 1324, 2134, 2314\},$

$$\chi_T(i, k) = \begin{cases} k + 1 & i = 1 \\ 3 & i = 2 \\ i & \text{otherwise} \end{cases}$$

- $T = \{1324, 2314, 3124, 3214\},$

$$\chi_T(i, k) = \begin{cases} 3 & i = 1 \\ i + 1 & \text{otherwise} \end{cases}$$



- Motzkin numbers

- $T = \{321, 3\bar{1}42\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & i = 1 \\ i - 1 & \text{otherwise} \end{cases}$

- numbers of left factors of Motzkin words

- $T = \{321, 4\bar{1}523\}$ ,  $\chi_T(i, k) = \begin{cases} k + 1 & i = 1 \\ 2 & i = 2 \\ i - 1 & \text{otherwise} \end{cases}$

- Fibonacci numbers

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HVALA !