Words 2009 - Salerno

A new Euler-Mahonian constructive bijection

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- 1968: using a constructive bijection by Foata

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 - number of inversions, and
 - major index
- Introduce a new statistic, mix, related to the Lehmer code
- Show that the bistatistic (mix, INV) is Euler-Mahonian
- Introduce the McMahon code for permutations which is the major-index counterpart of Lehmer code and show how the two codes are related

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6 5 2 4 1 3

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6 5 2 4 1 3 1 2 4

• i is a descent of π if $\pi_i > \pi_{i+1}$ and the descent set of π is the set of its descents.

Example

• A statistic on \mathfrak{S}_n is a function

$$\mathfrak{S}_n \to \mathbb{N}$$

bistatistic is a function

$$\mathfrak{S}_n \to \mathbb{N} \times \mathbb{N}$$



• (i,j) is an inversion of $\pi \in \mathfrak{S}_n$ if i < j but $\pi_i > \pi_j$

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$$\qquad \qquad \mathbf{MAJ} \, \pi = \sum_{\substack{1 \leq i < n \\ \pi_i > \pi_{i+1}}} i,$$

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- $MAJ \pi = \sum_{\substack{1 \le i < n \\ \pi_i > \pi_{i+1}}} i,$
- INV $\pi = \text{card}\{(i,j) \mid 1 \le i < j \le n, \pi_i > \pi_j\}.$

Lehmer's code

Definition

An integer sequence $t_1 t_2 \dots t_n$ is subexcedent if

$$0 \le t_i \le i-1$$

The set of *n*-length subexcedent sequences is

$$S_n = \{0\} \times \{0,1\} \times \ldots \times \{0,1,\ldots,n-1\}.$$

The Lehmer code is a bijection

$$code:\mathfrak{S}_n\to S_n$$

which maps

$$\pi_1\pi_2\ldots\pi_n\mapsto t_1t_2\ldots t_n$$

where t_i is the number of inversions (j, i) in π

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Example

$$\pi$$
 = 6 5 2 4 1 3

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Example

$$\pi = 6 \ 5 \ 2 \ 4 \ 1 \ 3$$

 $code(\pi) = t = 0 \ 1 \ 2 \ 2 \ 4 \ 3$



The Lehmer code is a bijection

code :
$$\mathfrak{S}_n \to S_n$$

which maps

$$\pi_1\pi_2\ldots\pi_n\mapsto t_1t_2\ldots t_n$$

where t_i is the number of inversions (j, i) in π (or equivalently, the number of entries in π larger than π_i and at its left)

Example

$$\pi = 6 \quad 5 \quad 2 \quad 4 \quad 1 \quad 3$$
 $code(\pi) = t = 0 \quad 1 \quad 2 \quad 2 \quad 4 \quad 3$

$$\mathsf{INV}\,\pi = \sum_{i=1}^n t_i$$



$$0 \le k < u \le n$$

let define $\rho_{u,k} \in \mathfrak{S}_n$ as the permutation obtained from the identity in \mathfrak{S}_n after a left circular shift of the segment of length k+1 ending at position u

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$$\rho_{3.1} = 13245$$

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let define $\rho_{u,k} \in \mathfrak{S}_n$ as the permutation obtained from the identity in \mathfrak{S}_n after a left circular shift of the segment of length k+1 ending at position u **Example** (in \mathfrak{S}_5)

$$\rho_{3,1} = 13245$$

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Example (in \mathfrak{S}_5)

$$\rho_{3.1} = 13245$$

$$\rho_{3,2} = 23145$$

$$\rho_{5.3} = 13452$$

$$\rho_{\mathsf{u},\mathsf{k}} =$$

Remark

Every permutation $\pi \in \mathfrak{S}_n$ can be recovered from its Lehmer code $t = t_1 t_2 \dots t_n \in S_n$ by

$$\pi = \rho_{n,t_n} \cdot \rho_{n-1,t_{n-1}} \cdot \dots \cdot \rho_{i,t_i} \cdot \dots \cdot \rho_{2,t_2} \cdot \rho_{1,t_1}$$

$$= \prod_{i=n}^{1} \rho_{i,t_i}$$

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$$= \prod_{i=n}^{1} \rho_{i,t_i}$$

$$code^{-1}(t_1t_2...t_n) = \prod_{i=n}^{1} \rho_{i,t_i}$$

target: 6 5 2 4 1 3

1 2 3 4 5 6

$$code(652413) =$$

target: 6 5 2 4 1 3

1 2 3 4 5 6

$$code(652413) =$$

target: 6 5 2 4 1 3

$$code(652413) =$$

1 2 3 4 5 6 1 2 4 5 6 3
$$= \rho_{6,3}$$

$$code(652413) = 3$$

1 2 3 4 5 6 1
$$= \rho_{6,3}$$

$$code(652413) = 3$$

$$= \rho_{\mathbf{6},\mathbf{3}}$$

$$code(652413) = 3$$

$$code(652413) = 43$$



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```
target: 6 5 2 4 1 3 1 2 3 4 5 6 1 2 4 5 6 3 = \rho_{6,3} 2 4 5 6 1 3 = \rho_{6,3} \cdot \rho_{5,4} 2 5 6 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2}
```

$$code(652413) = 243$$



```
target: 6 5 2 4 1 3 1 2 3 4 5 6 1 2 4 5 6 3 = \rho_{6,3} 2 4 5 6 1 3 = \rho_{6,3} \cdot \rho_{5,4} 2 5 6 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2}
```

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```
target: 6 5 2 4 1 3 1 2 3 4 5 6 1 2 4 5 6 3 = \rho_{6,3} 2 4 5 6 1 3 = \rho_{6,3} \cdot \rho_{5,4} 2 5 6 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} 5 6 2 4 1 3
```

$$code(652413) = 243$$

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```
target: 6 5 2 4 1 3 

1 2 3 4 5 6 

1 2 4 5 6 3 = \rho_{6,3} 

2 4 5 6 1 3 = \rho_{6,3} \cdot \rho_{5,4} 

2 5 6 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} 

5 6 2 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} \cdot \rho_{3,2} 

6 5 2 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} \cdot \rho_{3,2} 

code(652413) = 2243
```

```
target: 6 5 2 4 1 3 

1 2 3 4 5 6 

1 2 4 5 6 3 = \rho_{6,3} 

2 4 5 6 1 3 = \rho_{6,3} \cdot \rho_{5,4} 

2 5 6 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} 

5 6 2 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} \cdot \rho_{3,2} 

6 5 2 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} \cdot \rho_{3,2} \cdot \rho_{2,1} 

code(652413) = 12243
```

```
target: 6 5 2 4 1 3 

1 2 3 4 5 6 

1 2 4 5 6 3 = \rho_{6,3} 

2 4 5 6 1 3 = \rho_{6,3} \cdot \rho_{5,4} 

2 5 6 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} 

5 6 2 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} \cdot \rho_{3,2} 

6 5 2 4 1 3 = \rho_{6,3} \cdot \rho_{5,4} \cdot \rho_{4,2} \cdot \rho_{3,2} \cdot \rho_{2,1} 

code(652413) = 012243
```

For *n*, *k* and *u*

$$0 \le k < u \le n$$

let define $[[u, k]] \in \mathfrak{S}_n$ as the permutation obtained after k right circular shifts of the u-length prefix of the identity in \mathfrak{S}_n

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Example (in \mathfrak{S}_5)

$$[[3,1]] = 31245$$

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Example (in \mathfrak{S}_5)

$$[[3,1]] = 31245$$

$$[[3, 2]] = 23145$$

For *n*, *k* and *u*

$$0 \le k < u \le n$$

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Example (in \mathfrak{S}_5)

$$[[3,1]] = 31245$$

$$[[3,2]] = 23145$$

$$[[5,3]] = 34512$$

$$[[u, k]] =$$

Definition

$$\psi: \mathcal{S}_n \to \mathfrak{S}_n$$

$$\psi(t_1 t_2 \dots t_n) = [[n, t_n]] \cdot [[n-1, t_{n-1}]] \cdot \dots \cdot [[i, t_i]] \cdot \dots \cdot [[2, t_2]] \cdot [[1, t_1]] = \prod_{i=1}^{n} [[i, t_i]]$$

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• Every permutation in \mathfrak{S}_n can be uniquely written as

$$\prod_{i=n}^{1} [[i, t_i]]$$

for some t_i 's (next Lemma)

- $\{\rho_{i,k}\}_{0 \le k < i \le n}$ and $\{[[i,k]]\}_{0 \le k < i \le n}$ are both generating sets for \mathfrak{S}_n
- [[i, k]] can be viewed as a MAJ counterpart of $\rho_{i,k}$

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Lemma

The function

$$\psi: \mathcal{S}_n \to \mathfrak{S}_n$$

$$\psi(t_1t_2\ldots t_n)=\prod_{i=1}^n[[i,t_i]]$$

is a bijection

1 2 3 4 5 6

1 2 3 4 5 6

1 2 3 4 5 6 4 5 6 1 2 3

123456

 $4 \ \ 5 \ \ 6 \ \ 1 \ \ 2 \ \ 3 \qquad = [[6,3]]$

1 2 3 4 5 6

$$4 \quad 5 \quad 6 \quad 1 \quad 2 \quad 3 \qquad = [[6,3]]$$

$$= [[6, 3]]$$

```
target: 5 2 1 6 4 3
```

```
1 2 3 4 5 6
```

$$4 \ \ 5 \ \ 6 \ \ 1 \ \ 2 \ \ 3 \qquad = [\![6,3]\!]$$

5 6 1 2 4 3
$$= [6,3] \cdot [5,4]$$

```
target: 5 2 1 6 4 3
```

5 6 1 2 4 3
$$= [6,3] \cdot [5,4]$$

```
target: 5 \ 2 \ 1 \ 6 \ 4 \ 3
= [[6,3]]
= [[6,3]] \cdot [[5,4]]
```

```
1 2 3 4 5 6
4 5 6 1 2 3
5 6 1 2 4 3
1 2 5 6 4 3
```

```
target: 5 2 1 6 4 3 1 2 3 4 5 6 4 5 6 1 2 3 =[[6,3]] =[6,3] \cdot [[5,4]] =[6,3] \cdot [[5,4]] \cdot [[4,2]] =[6,3] \cdot [[5,4]] \cdot [[4,2]] \cdot [[3,2]] =[6,3] \cdot [[5,4]] \cdot [[4,2]] \cdot [[3,2]] \cdot [[5,4]] \cdot [[4,2]] \cdot [[3,2]] \cdot [[5,4]] \cdot [[4,2]] \cdot [[3,2]] \cdot [[2,1]]
```

$$\phi:\mathfrak{S}_n\to\mathfrak{S}_n$$

$$\phi(\pi) = \psi(t)$$

with t being the Lehmer code of π is a bijection

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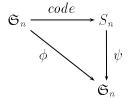
$$\phi=\psi\circ \mathit{code}$$

$$\phi:\mathfrak{S}_{n}\to\mathfrak{S}_{n}$$

$$\phi(\pi) = \psi(t)$$

with t being the Lehmer code of π is a bijection

$$\phi=\psi\circ \mathit{code}$$



We say that $\pi \in \mathfrak{S}_n$ is k-separate, $1 \le k \le n$, if there exists an ℓ such that π can be written as the concatenation of three 'segments' (the first two of them possibly empty)

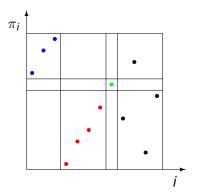
$$\pi = \pi_1 \pi_2 \dots \pi_{\ell} \pi_{\ell+1} \pi_{\ell+2} \dots \pi_{k-1} \pi_k \pi_{k+1} \dots \pi_n \tag{1}$$

with

- $\pi_i < \pi_j$ for all i and j, $1 \le i < j \le \ell$ or $\ell + 1 \le i < j \le k 1$, and
- $\pi_i > \pi_k > \pi_j$ for all i and j, $1 \le i \le \ell < j \le k 1$.

By convention we consider that the identity in \mathfrak{S}_n is (n+1)-separate.





The permutation 9 11 12 1 3 4 6 8 5 10 2 7 $\in \mathfrak{S}_{12}$ is 8-separable (and so j-separable for $1 \le j < 8$).

Lemma

Let $\pi \in \mathfrak{S}_n$ be k-separate For i, v with 0 < v < i < k

$$\sigma = \pi \cdot [[i, v]]$$

- a) If π has no descents at the left of k, then v is the unique descent in σ at the left of k
 - Otherwise, let ℓ be the (unique) descent in π at the left of k. In this case:
 - b) if $v \le i \ell$, then $\ell + v$ is the unique descent in σ at the left of k
 - c) if $v > i \ell$, then σ has two descents at the left of k: i and $v i + \ell$



If π is k-separate, then for all i and v, $0 \le v < i < k$

- π · [[i, v]] is i-separate
- $\qquad \text{MAJ} \left(\pi \cdot \llbracket [\textit{i}, \textit{v}] \right] \right) = \text{MAJ} \, \pi + \textit{v}$

If π is k-separate, then for all i and v, $0 \le v < i < k$

- π · [[i, v]] is i-separate
- MAJ $(\pi \cdot [[i, v]]) = \text{MAJ } \pi + V$

Theorem

For every $\pi \in \mathfrak{S}_n$ we have MAJ $\phi(\pi) = \mathsf{INV}\,\pi$.

If π is k-separate, then for all i and v, $0 \le v < i < k$

- $\pi \cdot [[i, v]]$ is i-separate
- MAJ $(\pi \cdot [[i, v]]) = \text{MAJ } \pi + V$

Theorem

For every $\pi \in \mathfrak{S}_n$ we have MAJ $\phi(\pi) = \mathsf{INV}\,\pi$.

Corollary

$$\sum_{\sigma \in \mathfrak{S}_n} q^{\mathsf{MAJ}\,\sigma} = \sum_{\sigma \in \mathfrak{S}_n} q^{\mathsf{INV}\,\sigma}$$



The mix statistic

$$\sum_{\sigma\in\mathfrak{S}_n}$$

$$q^{\mathsf{INV}\,\sigma} = \sum_{\sigma \in \mathfrak{S}_n}$$

$$q^{ ext{MAJ }\sigma}$$

The mix statistic

$$\sum_{\sigma \in \mathfrak{S}_n} t^{\mathsf{mix}\,\sigma} \ q^{\mathsf{INV}\,\sigma} = \sum_{\sigma \in \mathfrak{S}_n} t^{\mathsf{des}\,\sigma} \ q^{\mathsf{MAJ}\,\sigma}$$

For $t = t_1 t_2 \dots t_n \in S_n$ let $b = b_1 b_2 \dots b_{n-1}$ be a binary sequence with

•

$$\sum_{j=1}^{n-1} j \cdot b_j = \sum_{j=1}^n t_j$$

b is called the multi-radix (binary) array of t



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• for all i > 1

$$\sum_{j=i}^{n} t_{j} - i < \sum_{j=i}^{n-1} j \cdot b_{j} \leq \sum_{j=i}^{n} t_{j},$$

b is called the multi-radix (binary) array of t



Example

	multi-radix
t	sequence of t
00014	1001
00203	0110
01031	1001
01220	0110

Example

	multi-radix
t	sequence of t
00014	1001
00203	0110
01031	1001
01220	0110

```
r:=0;
for i:=n downto 1 do
r:=r+t_i;
if i\leq r;
then b_i:=1; r:=r-i;
else b_i:=0;
endif
```

• For $t \in S_n$, mix t is the number of 1-bits in its multi-radix array

- For $t \in S_n$, mix t is the number of 1-bits in its multi-radix array
- For $\pi \in \mathfrak{S}_n$, mix $\pi = \min t$ where t is the Lehmer code of π

- For $t \in S_n$, mix t is the number of 1-bits in its multi-radix array
- For $\pi \in \mathfrak{S}_n$, $\min \pi = \min t$ where t is the Lehmer code of π Formally, $\min \pi = \min code(\pi)$

and we extend des and mix statistics to set-valued functions.

Let

$$D:\mathfrak{S}_n\to 2^{\{1,2,\dots,n-1\}}$$

be the set-valued function which maps a permutation to its descent set

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$$D:\mathfrak{S}_n\to 2^{\{1,2,...,n-1\}}$$

be the set-valued function which maps a permutation to its descent set

$$\operatorname{des} \pi = \operatorname{card} \mathsf{D}(\pi),$$
 $\operatorname{MAJ} \pi = \sum_{i \in \mathsf{D}(\pi)} i$

Define

$$M:\mathfrak{S}_n\to 2^{\{1,2,\dots,n-1\}}$$

as the set of positions of 1-bits in the multi-radix array of the Lehmer code of $\boldsymbol{\pi}$

Define

$$M:\mathfrak{S}_n\to 2^{\{1,2,\ldots,n-1\}}$$

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$$\max \pi = \operatorname{card} \mathsf{M}(\pi),$$

$$\mathsf{INV}\, \pi = \sum_{i \in \mathsf{M}(\pi)} i$$

Theorem

For every subset T of $\{1, 2, \dots, n-1\}$, we have

$$\operatorname{card}\{\pi \in \mathfrak{S}_n \,|\, \mathsf{M}(\pi) = T\} = \operatorname{card}\{\tau \in \mathfrak{S}_n \,|\, \mathsf{D}(\tau) = T\}.$$

Theorem

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$$\operatorname{card}\{\pi \in \mathfrak{S}_n \,|\, \mathsf{M}(\pi) = T\} = \operatorname{card}\{\tau \in \mathfrak{S}_n \,|\, \mathsf{D}(\tau) = T\}.$$

$$M(\pi) = D(\phi(\pi))$$

The statistic mix is Eulerian.

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Corollary

The bistatistic (mix, INV) is Euler-Mahonian, or equivalently,

$$\sum_{\sigma \in \mathfrak{S}_n} t^{\operatorname{mix} \sigma} q^{\operatorname{INV} \sigma} = \sum_{\sigma \in \mathfrak{S}_n} t^{\operatorname{des} \sigma} q^{\operatorname{MAJ} \sigma}.$$

- mix can be seen just as a new Eulerian partner for inversions [M. SKANDERA,(2001)]
- Another Euler-Mahonian bistatistic is (exc, den) with
 - exc = the excedance number
 - den the Denert statistic,

thus den is a Mahonian partner for the excedance number [D. FOATA AND D. ZEILBERGER (1990)]

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From Lehmer code to McMahon code

Definition

For $\pi \in \mathfrak{S}_n$ the sequence $s = s_1 s_2 \dots s_n$ such that

$$\pi = \prod_{i=n}^{1} [[i, s_i]]$$

is called the McMahon code of π

From Lehmer code to McMahon code

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Equivalently, the McMahon code of π is $\psi^{-1}(\pi)$

From Lehmer code to McMahon code

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$$\pi = \prod_{i=n}^{1} \llbracket [i, s_i] \rrbracket$$

is called the McMahon code of π

Equivalently, the McMahon code of π is $\psi^{-1}(\pi)$ how the Lehmer code and McMahon code are related?

Let

$$\Delta: S_n \to S_n$$

$$t_1t_2\ldots t_n\mapsto \Delta(t)=s_1s_2\ldots s_n$$

- $s_n = t_n$, and
- $s_i = (t_{i+1} t_i) \mod i$, for $1 \le i \le n-1$.

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Example

$$\Delta(0\,1\,2\,2\,4\,3)=0\,1\,0\,2\,4\,3$$

$$\Delta^{-1}: S_n \to S_n$$

$$s_1 s_2 \ldots s_n \mapsto \Delta^{-1}(s) = t_1 t_2 \ldots t_n$$

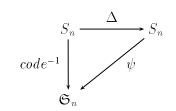
- $t_n = s_n$, and
- $t_i = (t_{i+1} + s_i) \mod i$, for $1 \le i \le n-1$.

Theorem

If $\pi \in \mathfrak{S}_n$ has its Lehmer code $t = t_1 t_2 \dots t_n$, then its McMahon code is $\Delta(t)$

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For any $\pi \in \mathfrak{S}_n$, the McMahon code $s = s_1 s_2 \dots s_n$ of π satisfies:

- $s_i = \operatorname{card} \{j \mid 1 \le j < i, \pi_j \in [\pi_{i+1}, \pi_i]\} \text{ if } \pi_{i+1} < \pi_i,$
- $s_j = \text{card } \{j \mid 1 \le j < i, \pi_j \notin [\pi_i, \pi_{i+1}]\}$ elsewhere, with the convention that $\pi_{n+1} = n+1$.

For a subexcedent sequence

$$t=t_1t_2t_3\ldots t_{n-1}t_n\in S_n$$

define its complement t^c as the subexcedent sequence

$$t^c = t_1(1-t_2)(2-t_3)\dots(n-2-t_{n-1})(n-1-t_n)$$

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For a permutation

$$\pi = \pi_1 \pi_2 \pi_3 \dots \pi_{n-1} \pi_n$$

define its complement as

$$\pi^c = (n+1-\pi_1)(n+1-\pi_2)(n+1-\pi_3)\dots(n+1-\pi_{n-1})(n+1-\pi_n)$$



• $code^{-1}(t^c) = (code^{-1}(t))^c$

Lemma

For any $t \in S_n$, we have

(i)
$$\Delta(t^c) = (\Delta(t))^c$$

(ii)
$$\Delta^{-1}(t^c) = (\Delta^{-1}(t))^c$$
,

(iii)
$$\psi(t^c) = (\psi(t))^c$$
.

• $code^{-1}(t^c) = (code^{-1}(t))^c$

Lemma

For any $t \in S_n$, we have

- (i) $\Delta(t^c) = (\Delta(t))^c$,
- (ii) $\Delta^{-1}(t^c) = (\Delta^{-1}(t))^c$,
- (iii) $\psi(t^c) = (\psi(t))^c$.

- $D(\psi(t^c)) = \{1, 2, ..., n-1\} \setminus D(\psi(t)),$
- $M(code^{-1}(t)) = D((code^{-1} \circ \Delta^{-1})(t)).$

Question: can the previous results be naturally generalized to multiset permutations?

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- $M(code^{-1}(t)) = D((code^{-1} \circ \Delta^{-1})(t)).$

Question: can the previous results be naturally generalized to multiset permutations?